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## ARTICLE

## Extensive HPLC-tandem mass spectrometry characterization of soluble degradation products of biodegradable nanoplastics under environmentally relevant temperature and irradiation conditions

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Polyester-based plastics, and in particular biodegradable ones, are increasingly used because they may be a partial solution to environmental pollution issues. Therefore, proper identification of their degradation products is strongly needed. In the present work, we optimised a method to investigate the degradation of two biodegradable polymers, polylactic acid (PLA) and polycaprolactone (PCL), together with the non-biodegradable polyethylene terephthalate (PET). We focused the work on nanosize particles (150-200 nm), in relationship with the concern about the presence of nano- and micro-plastics in the environment. We aimed at characterizing the low molecular weight degradation products, which are likely to diffuse and interact with living cells. For this purpose, the polymer samples were aged at 40 or 55 C under simulated sunlight in a climatic chamber, i.e. in highly controlled conditions, and the resulting liquid phase was analyzed by liquid chromatography coupled to tandem mass spectrometry. The latter technique was used in four different detection modes: single stage mass spectrometry, product ion scan, precursor ion scan and neutral loss. While the first technique has been applied in other works, the unique combination of all these analytical strategies allowed us to show that hydrolysis was the overwhelming degradation pathway for PLA, PCL and even PET. Oligomers ranging between 1 and 8 monomers were identified for PLA and 2 and 8 for PCL. Shorter compounds composed of 1 to 4 units were detected in PET samples. Altogether, the present work reports novel information on the degradation of nanosize plastics and proposes a robust analytical strategy for the extensive characterization of soluble degradation products of plastic material.

### Environmental Significance:

The accumulation of plastics in the environment has led to the development of biodegradable polymers that may reduce the burden of pollution by micro- and nanoplastics. This approach will be safe only if the degradation products exhibit neither toxicological nor ecotoxicological properties. Their accurate characterization is thus of outmost importance. In the present work, we studied two widely used biodegradable polymers, polylactic acid and polycaprolactone. An analytic strategy based on liquid chromatography coupled to tandem mass spectrometry was designed. Plastics were in the nanosize form and were aged under well controlled conditions in a climatic chamber. Hydrolysis was found to be the unique degradation process. The versatility of the approach was shown by the study of a third compound, the non-biodegradable polyethylene terephthalate, which was actually found to also undergo

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Electronic Supplementary Information (ESI) available: [fig S1: ESI+ fragmentation spectrum of the [M+NH<sub>4</sub>]<sup>+</sup> for PLA2; fig. S2 ESI+ fragmentation spectrum of the [M+NA]<sup>+</sup> for PLA2 and PLA 7; Table S1: Precursor ion scan and neutral loss data pour PLA oligomers; fig. S3 Precursor ion scan and neutral loss chromatograms for PCL; fig. S4: mass fragmentation of PET4]. See DOI: 10.1039/x0xx00000x



hydrolysis.

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## INTRODUCTION

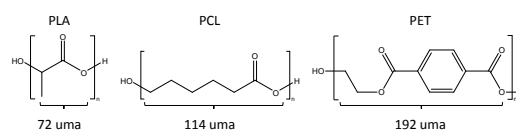
Increasing use of plastics over the last decades has led to the accumulation of poorly degradable wastes in the environment. Plastics are composed of both an essential polymer and a series of additives aimed at facilitating manufacturing and improving mechanical or aesthetic properties of the final products. Evidence are growing that these plastic wastes decompose into micro and nanoparticles<sup>1</sup> while releasing some or all of their additives in the environment. These species can affect the fauna and the flora and possibly exhibit toxicity to humans<sup>1-3</sup>. As a response to this pollution issue, a trend in regulation is the ban of single-use and/or conventional plastics in some industrial sectors. Another possibility, deployed for more than a decade, is the development of eco-friendly biodegradable plastics, which can be either fossil (petroleum)-based or bio-based<sup>4-6</sup>. The chemical structure of these materials exhibits less stable functions than the carbon-carbons bonds found in many non-degradable plastics like polyethylene, polypropylene, and polyvinyl chloride. In many biodegradable plastics, the link between monomeric units is provided by ester groups that can easily be either chemically or enzymatically hydrolysed. In addition, the lability of these chemical bonds facilitates the production of monomers in chemical recycling or when exposed to stringent environmental conditions<sup>4,6</sup>, which is also a source of possibly harmful plastic degradation products.

The characterization of biodegradable plastics degradation products appears as a requisite to the proper understanding of their physico-chemical properties. It is also important for the assessment of the toxicological and ecotoxicological consequences of release of these compounds in the environment. Mass spectrometry (MS) appears as a very valuable tool for this purpose. MS combined to gas chromatography separation has already been used for the identification of small volatile products released upon thermal degradation<sup>7,8</sup>. HPLC coupled to mass spectrometry detection has also been used for the quantification of additives<sup>9,10</sup> and non-intentionally added substances<sup>11</sup> released upon aging of plastics. The same tools were applied to the degradation and the chemical recycling of biodegradable plastics<sup>8</sup>. These works were mostly focussed on food packaging or environmental samples<sup>12-16</sup>. Although some of these works involved some quadrupole-time of flight (Q-TOF) analyses, most of them were performed in single stage mass spectrometry (MS1) or by tandem mass spectrometry (HPLC-MS/MS) used in the targeted sensitive multiple

reaction monitoring mode (MRM) on triple quadrupolar systems. However, the latter LC-MS techniques provide only limited structural information.

In the present work, we designed a strategy that took advantage of the numerous detection modes provided by quadrupolar technologies for the unambiguous characterization, rather than the simple detection, of low molecular weight compounds released upon aging of biodegradable plastics in water. In that respect, triple quadrupolar technologies, which make possible precursor ion scan or neutral loss analyses, are more informative than high resolution techniques. Importantly, our purpose was not to collect new mass spectrometry data on polymers, which has been done for many plastics<sup>17</sup>, but to use HPLC-MS/MS as a powerful tool for the identification of the major degradation products and the search for minor derivatives.

Emphasis was placed in the present work on polylactic acid (PLA), which is the most widely used biodegradable plastic<sup>5,18</sup>, and on polycaprolactone (PCL), which is a fossil-based biodegradable polymer. Polyethylene terephthalate (PET) was also studied because it is the main constituent of plastic bottles and represents a major environmental threat<sup>19</sup>. Its annual global production accounts for 10 % of the 450 million tons of total production of plastics<sup>3</sup>. PET is not a biodegradable plastic but was included in this work because it exhibits ester bonds. A specificity of our work is that PLA, PCL and PET (Scheme 1) were studied in their nanosize form. Data are available on the degradation of bulk biodegradable polymers but nanoplastics represent a form of concern in terms of environmental impact and that deserves interest. Another aspect is the use of pure polymers of PCL and PLA, devoid of additives, instead of the complete formulations investigated in most studies.



**Scheme 1:** Chemical structure of the studied polymers. The reported values expressed in universal mass units (uma) are the molecular weight of their respective repetitive units.

## MATERIAL AND METHODS

### Chemicals and plastic nanoparticles

Acetonitrile (ACN) of HPLC-MS grade was a Carlo Erba product (Val-de-Reuil, France). Ammonium formate (10 M in water) and formic acid (HPLC-MS grade) were purchased from Aldrich (Saint Quentin-Fallavier, France). Water used for the preparation of

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HPLC mobile phases and for suspensions of particles was purified on a Milli-Q system. PLA (120 nm) was purchased as an aqueous suspension from Adjuvatis (Lyon, France). PCL (200 nm) was provided as an aqueous suspension by Phosphorex (Hopkinton, MA, USA). PET particles were kindly provided by A. Hernandez (Universitat Autònoma de Barcelona, Spain) as a water suspension<sup>20</sup>. The size distribution of the PET particles was centred on 200 nm. All these particles were diluted in ultrapure water to the final concentration of 10 mg/mL.

#### Synthesis of PCL oligomers

A vial containing 30 mg of pure 6-hydroxyhexanoic acid (Aldrich, Saint Quentin-Fallavier, France) was left at 50°C for 186h. Water (1 mL) was then added. The resulting suspension was sonicated and 2 mL of a solution at 1 mg/mL in water was prepared. This stock solution was then diluted at 0.01 mg/mL in water for HPLC-MS analyses.

#### Aging of particle in aqueous suspension

The suspensions (3 mL) were placed in a quartz spectrophotometer cuvette (1×1×3.5 cm). A magnetic bar was added and the cuvette was closed. The sample was placed on a magnetic stirrer placed in a Q-Sun Xe-1 xenon test chamber (Q-Lab Corporation, Westlake, Ohio). It was fitted with a xenon lamp properly filtered to emit a light mimicking the solar spectrum. The aging procedure was inspired from the ISO 4892-2:2013 guideline<sup>21</sup>. The intensity was adjusted to 1.44 W m<sup>-2</sup> nm<sup>-1</sup> measured at 420 nm, which corresponds to an equatorial zenith exposure. The typical irradiation temperature was 40°C measured in the sample and the exposure time was 96h. For PLA, an additional aging experiment was performed at 55 °C. In the case of PLA and PCL, 200 µL of the aged suspension and 200 µL of the initial suspension kept at 4°C during aging (control sample) were then filtered in a centrifuge filtering tube bearing a 0.2 µm nylon membrane (VWR International, Rosny-sous-Bois, France). In the case of PET, the aged suspension was centrifuged 10 min at 10 000xg. The aqueous phase was collected and filtered at 0.2 µm. ACN (200 µL) was added to the pellet and the sample was vortexed. The resulting suspension was then filtered in a centrifuge filtering tube bearing a 0.2 µm nylon membrane. In all cases, liquid phases were then collected and placed in HPLC vials.

#### HPLC-tandem mass spectrometry analyses.

HPLC separations were performed on a ExionLC AD series chromatographic system (SCIEX, Framingham, MA) equipped with an octadecylsilyl silica gel on line column (Nucleodur C18 Gravity, 1.8 µm particle size, 2×100 mm ID, Macherey Nagel, Gutenberg, France). The flow rate was 0.35 ml min<sup>-1</sup> and the oven

temperature 50°C. The mobile phases were a 2 mM ammonium formate containing 0.2 % formic acid (A) and ACN with 0.2 % formic acid (B). For PCL and PLA, the initial composition of the gradient was pure A. The proportion of B was then increased to 10 % in 12 min, set to 60 % at 20 min and reached 100 % at 22 min. The latter composition was maintained for 3 min. In the case of PET, the initial composition was 90 % A / 10 % B. The proportion of B reached 70 % at 10 min and 100 % at 22 min. This composition was maintained for 4 min. The chromatographic flow was then directed toward the turbo ionspray source of a 6500 Qtrap mass spectrometer (SCIEX). The pressure of curtain gas, ion source gas 1 (nebulization) and ion source gas 2 (heated sheath gas) were set at 45, 60 and 60 psi respectively, while the source temperature was 550°C. The exhaust gas (air) was set at 25 L min<sup>-1</sup>. The ion spray voltage was 5000 V in the positive mode and -4500 in the negative one. MS1 experiments were performed in the "enhanced mode" where the third quadrupole of the spectrometer is used as a linear ion trap in order to improve resolution and sensitivity. Analyses involving fragmentation (product ion scan, precursor ion scan, neutral loss) were used in a classical triple quadrupole configuration. The pressure of collision gas was then set to "medium" while the collision energy was either 30 V in the positive mode or -30 V in the negative mode.

## RESULTS

### PLA

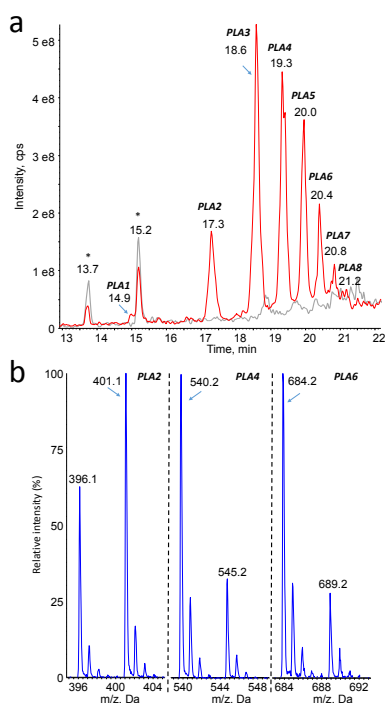
Mass spectrometry was found to be well suited for the identification of PLA degradation products. Efficient ionization was achieved by electrospray, especially in the positive mode (ESI+). The rich fragmentation patterns allowed using all the corresponding detection modes, including product ion scan (MS2), precursor ion scan, and neutral loss.

#### MS1

The HPLC analyses of PLA samples aged at 55°C with the mass spectrometer operated in ESI+ and with single stage mass spectrometry detection (MS1) show the presence of at least 8 new compounds at different retention times, referred to as PLA1 to PLA8 (Fig. 1a). Two other compounds eluting at 13.7 and 15.2 min (labelled \* on Figure 1a) were also detected. They were present in both the pristine and the aged samples and are thus not degradation product. When the temperature was set to 40°C, the same degradation products, i.e., at the same elution times, were observed and the intensity of the peaks detected after 96 h of aging was 5 times lower than at 55°C. However, the proportion between the amounts of the different products remained



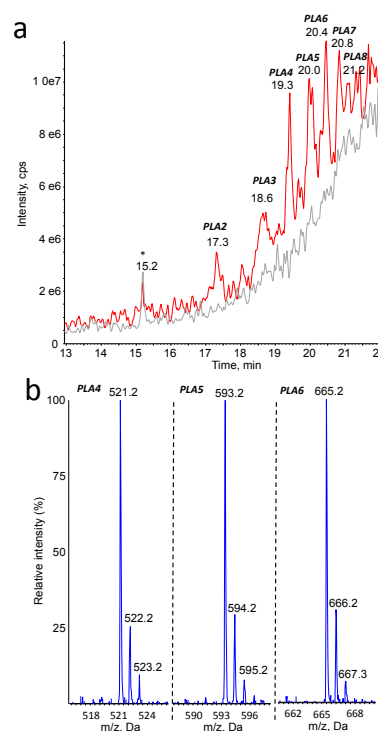
unchanged. For all the detected aging-induced compounds, two pseudo molecular ions were detected with difference in  $m/z$  of 5. We attributed these ions to  $[M+NH_4]^+$  and  $[M+Na]^+$  species (Fig. 1b for PLA2, PLA4 and PLA6). Traces of  $[M+H]^+$  pseudo molecular ions were only observed in the spectra of products with the lowest molecular weight. In addition to the  $[M+Na]^+$  species, ions where a second sodium substituted a proton were also observed. Another striking feature is that the 8 detected compounds corresponded to a series of products exhibiting increasing molecular weight with differences of 72 mass unit. This variation in mass suggests that the samples contain a series of molecules resulting from hydrolysis of PLA in various extent. Indeed, hydrolytic release of lactic acid (LA) involves addition of water (+18) and loss of LA (-90), resulting in a net loss of 72.



**Figure 1:** a) HPLC-MS1 chromatogram recorded upon analysis of aged 150 nm PLA particles before (grey) and after (red) aging at 55°C. Peaks labelled \* were present before aging. Detection was made in positive electrospray ionization with the spectrometer used as a linear ion trap (mass range 50-1000); b) mass spectra centered around the  $[M+NH_4]^+$  and  $[M+Na]^+$  pseudo-molecular ions for the compounds eluting in peaks PLA2, PLA4 and PLA6.

Monitoring of the chromatogram in negative electrospray (ESI-) was less sensitive than in the positive mode, in a roughly 1 to 50 ratio. However, peaks were unambiguously detected at the same retention time than compounds PLA1 to PLA8 (Fig 2a, Table 1). The major ions detected in the negative mode corresponded in all cases to  $[M-H]^-$  pseudo-molecular ion of the product identified in the positive mode (Fig. 2b). The series of compounds with

72 mass unit difference was thus confirmed. This observation strongly suggests that the analytes are lactic acid oligomers.



**Figure 2:** a) HPLC-MS1 chromatogram recorded upon analysis of aged 150 nm PLA particles before (grey) and after (red) aging at 55°C. Peaks labelled \* were present before aging. Detection was made in negative electrospray ionization with the spectrometer used as a linear ion trap (mass range 50-1000); b) mass spectra centered around the  $[M-H]^-$  pseudo-molecular ions for the compounds eluting in peaks PLA2, PLA4 and PLA6.

**Table 1:** Summary of the pseudo-molecular ions detected in peaks eluting at increasing retention time, and determination of the size of the corresponding PLA oligomers.

Compound #	PLA1	PLA2	PLA3	PLA4	PLA5	PLA6	PLA7	PLA8
retention time	15.0	17.3	18.5	19.4	19.9	20.3	20.7	21.1
m.w.	306	378	450	522	594	666	738	810
Number of PLA units	4	5	6	7	8	9	10	11
$[M+NH_4]^+$	324	396	468	540	612	684	756	828
$[M+Na]^+$	329	401	473	545	617	689	761	833
$[M-H]^-$	n.d.	377	449	521	593	665	737	n.d.

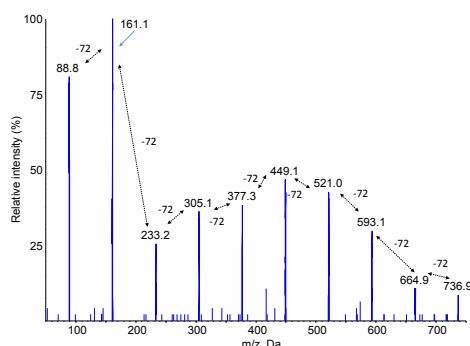
#### Fragmentation spectra

A subsequent set of analyses were performed in the product ion scan mode to record fragmentation mass spectra. In the positive mode, fragmentation of the  $[M+NH_4]^+$  yielded complex spectra that could be interpreted by loss of  $NH_3$ , 72 mass units, water and  $CO_2$ . It should be emphasized that, upon fragmentation, the pseudo-molecular ion shifts from  $[M+NH_4]^+$  to  $[M+H]^+$ . Indeed, all fragments exhibit an odd  $m/z$  ratio, showing the absence of the nitrogen atom present in the ion isolated upon ionization. A

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detailed example is shown for the compound PLA2 on Figure S1a (Supplementary Material). Interestingly, we observed the presence of a fragment at  $m/z$  91 corresponding to the protonated monomeric lactic acid (molecular weight 90). Fragmentation of  $[M+Na]^+$  pseudo-molecular ions was less complex. The spectra exhibited a series of product ions separated by 72 mass unit. Figure S2a (Supplementary Material) shows that, for compound PLA2, fragments at  $m/z$  -72 and -144, which were not observed upon fragmentation of  $[M+NH_4]^+$ , were unambiguously detected. Similar observations were made for other compounds, for instance for a high mass compound like the decamer PLA7 (Supplementary Material Fig. S2b). Fragmentation spectra in the negative mode of the  $[M-H]^-$  pseudo-molecular ions also showed a series of fragments separated by 72 mass units. However, the yield of fragmentation was much larger than for  $[M+Na]^+$  ions. As illustrated in Figure 3 for compound PLA7, the all set of -72 peaks could be observed until a final fragment corresponding to monomeric lactic acid. A major information inferred from these fragmentation spectra, recorded either in the positive or negative mode, is a confirmation of the presence of lactic acid moieties that are sequentially released upon fragmentation. This information strongly suggests that the compounds are linear oligomers of lactic acid.



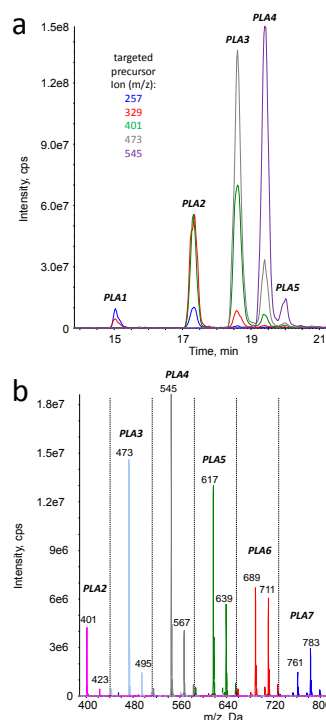
**Figure 3:** Negative electrospray ionization fragmentation mass spectra of the  $[M-H]^-$  pseudo-pseudo molecular ion of compound PLA7, namely the PLA decamer.

#### Precursor ion scan and neutral loss analyses

Precursor ion scan and neutral loss are two detection modes with similar purposes. They rely on a triple quadrupole analysis in order to identify compounds undergoing a specific fragmentation reaction. They are complementary since a fragmentation reaction yields a charged fragment and a neutral molecule. In the precursor ion scan mode, the second analysis quadrupole is set on the  $m/z$  values of targeted fragments and the first one scans over a larger range of  $m/z$ . In our case, precursor ion scan analyses targeted the different compounds with masses separated by 72 mass unit. In the neutral loss mode,

the two quadrupoles are scanning with a constant difference in  $m/z$  corresponding to the molecular weight of the targeted released neutral molecule. Our neutral loss analyses of PLA degradation products aimed at detecting all the compounds undergoing loss of 72 mass units.

In the ESI+ analyses in the precursor ion scan mode, the targeted product ions were those detected upon fragmentation of the  $[M+Na]^+$  pseudo-molecular ions. Those arising from  $[M+NH_4]^+$  species were not selected because of the complexity of the fragmentation spectra of the latter pseudo-molecular ions. The retention times of all the peaks observed on the chromatograms monitored in the precursor ion scan (Fig. 4a) mode corresponded to those found in the MS1 analyses. The  $m/z$  ratio of the detected parent pseudo molecular ions were 72 or 144 mass unit larger than the targeted fragments. In -72 neutral loss detection, the pseudo-molecular ions  $[M+Na]^+$  and  $[M+2Na]^+$  were observed at the same retention times, in agreement with MS1 data (Fig. 4b). However, in contrast to the latter analyses the  $[M+NH_4]^+$  species were not observed because their fragmentation pattern did not lead to significant loss of the monomeric lactic acid moiety.



**Figure 4:** a) Precursor ion scan analysis of aged PLA with positive electrospray ionization. The targeted ions were those identified by MS2 analyses and are listed on the figure; b) Neutral loss analysis of aged PLA with positive electrospray ionization. The monitored loss was -72. The figure shows the  $m/z$  ratio of the pseudo-molecular ions detected under each chromatographic peak.

Similar analyses in the negative ionization mode were less sensitive. However, like with positive ionization,

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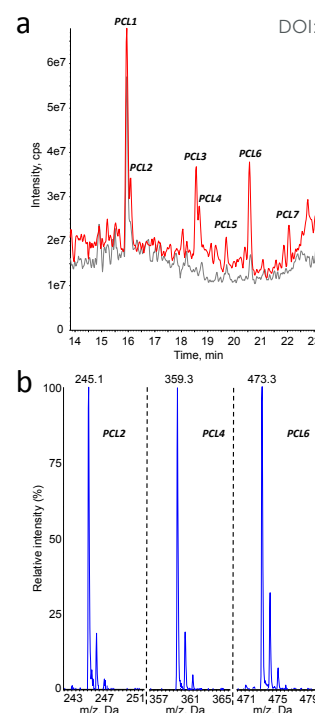
## ARTICLE

peaks were observed with mass spectrometry features confirming the presence of series of fragments with  $m/z$  value separated by 72. The neutral loss analyses confirmed the presence of compounds producing fragments with loss of 72 mass units. In both the positive and the negative mode, the pseudo-molecular ions detected were the same as those determined in the MS1 experiments. The combination of the ion precursor scan and neutral loss data (Supplementary data Table S1) thus unambiguously confirmed that the degradation products of PLA represent a series of products with increasing number of a 72 mass unit moiety. Unambiguous identification of the degradation products of PLA as linear hydrolysed oligomers would require the comparison with authentic standards. However, the consistency of the MS1, MS2, precursor ion scan and neutral loss analyses, with both positive and negative electrospray ionizations, make this conclusion most likely.

## PCL

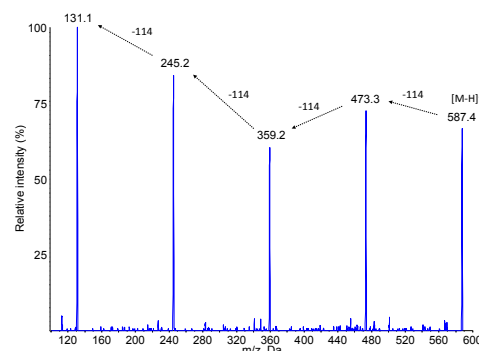
The same analytical strategy was applied to the identification of degradation products of PCL. In contrast to PLA, the detection was more sensitive in the negative mode. The MS1 analyses revealed the presence of 6 degradation products, in addition to PCL1 (*vide infra*) and other compounds present before aging (Fig. 5a). Peak corresponding to products PCL2, PCL4, PCL6 and PCL7 yielded MS1 spectra with a  $[M-H]^-$  pseudo-molecular ion at  $m/z = 245, 359, 473$  and  $587$ , respectively (Fig. 5b for PCL2, PCL4 and PCL8). These values are separated by 114 mass units, which can be explained by loss of 6-hydroxy-hexanoic acid unit from larger molecules. Moreover, 246 is the molecular weight of a caprolactone dimer. Therefore, compounds PCL2, PCL4, PCL6 and PCL7 can be proposed to be dimer, trimer, tetramer and pentamer of caprolactone.

## Environmental Science: Nano

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**Figure 5:** a) HPLC-MS1 chromatogram recorded upon analysis of aged 200 nm PCL particles before (grey) and after (red) aging. Detection was made in negative electrospray ionization with the spectrometer used as a linear ion trap (mass range 50-1000); b) mass spectra centred around the  $[M-H]^-$  pseudo-molecular ions for the compounds eluting in peaks PCL2, PCL4 and PCL6.

The hypothesis that compounds PCL2, PCL4, PCL6 and PCL7 were caprolactone oligomers was confirmed by the product ion scan analysis. MS2 spectra yielded a series of product ions separated by 144 mass unit. The fragmentation spectrum of compound PCL7 was especially informative (Fig. 6). This product is expected to be the PCL pentamer. A series of product ions corresponding to all the shorter oligomers down to the 6-hydroxy hexanoic monomer were actually observed.



**Figure 6:** Negative electrospray ionization fragmentation mass spectra of the  $[M-H]^-$  pseudo-pseudo molecular ion of compound PCL7, namely the PCL pentamer.

Other mass spectrometry detection modes confirmed these structural assignments. Search for

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precursors of the PCL oligomers yielded peaks only at the retention times of compounds PCL2, PCL4, PCL6 and PCL7 (Supplementary material Fig. S3a). The  $m/z$  values detected for the corresponding products were in all cases equal or lower by one or two multiples of 114 mass unit from the expected molecular ion. Neutral loss analyses confirmed the presence of the oligomers (Supplementary material Fig. S3b). When a -114 transition was monitored, all the peaks previously identified were observed. Only one additional compound, PCL8, was detected at longer retention times. Its molecular weight was found to be 702, which correspond to the PCL hexamer. In summary, all the mass spectrometry features strongly suggested a structural assignment of the detected compounds as a series of oligomers from the dimer to the hexamer of PCL (Table 2). Confirmation of the proposed structure was provided by comparison of the chromatograms obtained upon analysis of synthetic oligomers and aged PCL particles (Supplementary material Fig. S4).

**Table 2:** Summary of the MS1, precursor ions scan and neutral loss data obtained during analyses of aged PCL performed with negative electrospray ionization.

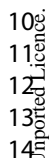
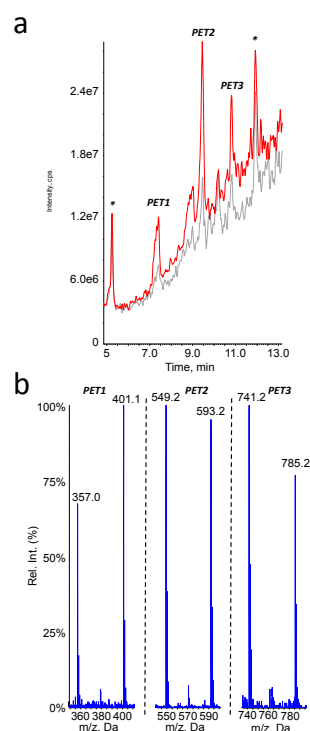
Compound #	PCL2	PCL4	PCL6	PCL7	PCL8
retention time (min)	16.1	18.7	20.6	22.1	22.6
m.w.	246	360	474	588	702
Number of PET units	2	3	4	5	6
[M-H] <sup>-</sup> MS1	245	359	473	587	n.d.
precursor of ions	131	131 245	131 245 359	473	
[M-H] <sup>-</sup> with NL72	245	359	473	587	701

In addition to these PCL oligomers, other compounds were detected in the aged PCL samples. A compound (PCL1) eluting at 15.9 min was already present in the pristine sample. Its spectrum exhibited two main peak at  $m/z$  259 and 145. The corresponding compound was thus identified as a methylated PCL dimer. Compound PCL3 (Rt 18.3 min) is not initially present. The pseudo-molecular ion of its MS1 spectrum was at  $m/z$  373. Fragmentation of this ion provided a spectrum similar to that of compound PCL1. It can thus be proposed that PCL3 is a trimer of a methylated PCL produced during aging. The issue of PCL methylation was confirmed by the observation of small peaks eluting just before the PCL oligomers on the neutral loss chromatograms that exhibited pseudo-molecular ion at  $m/z$  +14 compared to PCL derivatives (red arrows on Supplementary material Fig. S3b). Other unidentified products were also detected. They most likely did not arise from PCL degradation since they varied from one batch of purchased particles to the other. It was the case in

the present experiment of compound PCL5. Its MS1 spectrum exhibits a complex series of ions with a putative pseudo-molecular ion at  $m/z$  459 and a corresponding sodium adduct at  $m/z$  481. Fragmentation experiments did not allow us to unravel the structure.

## PET

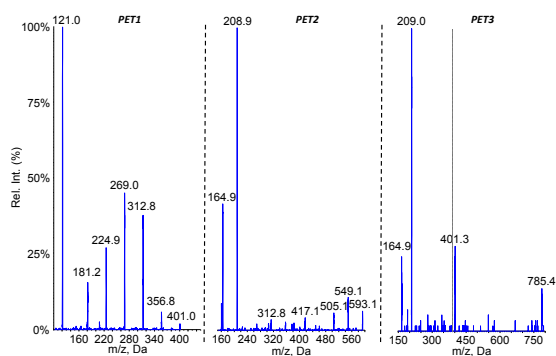
Because of the presence of an aromatic ring in PET, we expected that putative photoproducts would not be water-soluble. Therefore, analyses were performed on both the filtered aqueous fraction of aged suspensions and on an ACN extract of the pellet obtained by centrifugation of the sample. The mass spectrometer was used in the negative ionization mode since no peak could be detected in ESI+. The larger hydrophobicity of PET monomer also prompted us to use a gradient with a larger proportion of ACN than for PLA and PCL. No additional peak compared to the pristine particles was observed in the chromatogram of the aqueous fraction of the aged PET suspensions. In contrast, three new signals were observed in the ACN fractions, corresponding to compounds PET1, PET2 and PET3 (Fig. 7a). The  $m/z$  value of the pseudo-molecular ions, which exhibited differences of 192 mass units, suggested that the corresponding compounds were the dimer, trimer and tetramer of ethylene terephthalic acid. It was also observed that all spectra exhibited a peak corresponding to the loss of CO<sub>2</sub>, thereby confirming the presence of a carboxylic group (Fig. 7b).





**Figure 7:** a) HPLC-MS1 chromatogram recorded upon analysis of aged 200 nm PET particles before (grey) and after (red) aging. Detection was made in negative electrospray ionization with the spectrometer used as a linear ion trap (mass range 50-1000); b) mass spectra showing the [M-H]<sup>-</sup> pseudo-molecular ions for the compounds eluting in peaks PET1, PET2 and PET3, together with their [M-CO<sub>2</sub>-H]<sup>-</sup> fragments.

The oligomeric nature of the detected products was confirmed by the fragmentation spectra (Fig. 8). The fragments corresponding to the dimer and monomer were detected upon fragmentation of the tetramer. Similarly, fragmentation of the trimer yielded the monomer. Last, the fragmentation of the dimer did not yield the monomer but a series of fragments (-CO<sub>2</sub>, -O=C-O-CH<sub>2</sub>-CH<sub>2</sub>-OH, benzoic acid and some substituted derivatives, etc.). It should be stressed that MS2 analysis of [M-H-CO<sub>2</sub>]<sup>-</sup> ions of the tetramer and trimers yielded the fragments similar to those observed upon fragmentation of [M-H]<sup>-</sup> ions but as decarboxylated derivatives (data not shown)



**Figure 8:** Negative electrospray ionization fragmentation mass spectra of the [M-H]<sup>-</sup> pseudo-pseudo molecular ion of compound PET1, PET2 and PET3, namely the dimer, trimer and tetramer of PET, respectively.

Analyses in precursor ion scan confirmed the m/z ratio of the pseudo-molecular ion associated to peaks PET1, PET2 and PET3 (Table 3). An additional compound PET4 corresponding to the PET pentamer was identified as its pseudo-molecular ion is a precursor of fragments at m/z 401. These data were confirmed by an analysis in the product ion scan mode of the ion m/z 977 (Supplementary material Fig. S5) with observation of fragments corresponding to the dimer (m/z 209) and trimer (m/z 401) of PET. Other analyses were performed in the neutral loss mode. In contrast to PLA and PCL, we did not monitor fragmentations corresponding to the loss of one unit of ethylene terephthalic acid (192 uma) but 2 (384 uma) as observed on the fragmentation mass spectra. Using this approach, we could confirm the molecular weight of compounds PET2 and PET3. Compound PET1 was not detected because its fragmentation does involve loss of ethylene terephthalic acid. The pentamer was also not detected because it does not yield the fragment at m/z 593 upon fragmentation. Altogether, the collected data strongly suggest the PET is partly hydrolysed upon aging in water.

Definitive structural assessment would yet require comparison with authentic standards. View Article Online  
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**Table 3:** Summary of the, MS1, precursor ions scan and neutral loss data obtained during analyses of aged PET performed with negative electrospray ionization.

Compound #	PET1	PET2	PET3	PET4
retention time (min)	7.4	9.5	10.8	11.6
m.w.	402	594	786	978
Number of PET units	2	3	4	5
[M-H] <sup>-</sup> MS1	401	593	785	n.d.
precursor of ions	209	209 401	401	401
[M-H] <sup>-</sup> with NL 384		593	785	

## DISCUSSION

The first objective of this work was the identification of the small molecules released upon aging of three polymers (PLA, PCL and PET), two of them being biodegradable (PLA and PCL). We used these materials as pure compounds, free of additives. Moreover, experiments were performed in pure aqueous suspensions. Aging was induced only by increased temperature and simulated sunlight exposure in both the UV and visible ranges. In this way, the collected data provide information on the intrinsic stability and reactivity of the studied plastics. In addition, we chose to work with nanosize plastics. Some information are available on bulk materials such as films or pellets<sup>8, 12, 13, 16, 22</sup> but little in the submicron range. The first series of analyses was carried out in the MS1 mode. Samples of aged PLA, PCL and PET were found to contain oligomers of lactic acid, 6-hydrohexanoic acid and 2-hydroxyethyl terephthalic acid, respectively. This conclusion was based on the observation on the chromatograms of peaks corresponding to oligomers of increasing sizes. In the case of PCL, further structural confirmation was provided by comparison with synthetic oligomers. The number of monomeric units present in these fragments ranges between 4 and 11 for PLA, 2 and 6 for PCL, and 2 and 4 for PET. In the latter case, it cannot be ruled out that longer oligomers may have been lost in the sample preparation because of a poor solubility in water. Another point is that because the glass transition temperature of PLA ranges between 55 and 60°C, and is associated with an increase in the hydrolysis rate<sup>23</sup>, we performed aging of these particles at both 55 and 40°C. As expected, we observed that the amount of oligomers was five times larger when aging was performed at 55°C compared to 40°C. Glass transition temperature is -60°C for PCL and more than 75°C for PE. They are

thus not relevant to environmental conditions and experiments with these two polymers were performed only at 40°C.

Our results confirm that, even at the nanoscale, hydrolysis is a favoured route of degradation of polyesters. This is well documented for PLA and PCL<sup>22, 24</sup>. In contrast, PET is described as a stable material and its abiotic hydrolysis is less studied. As a consequence, only limited information is available on the identity of the released hydrolysis products<sup>3, 25</sup>. Our observations show that hydrolysis is a common degradation route upon aging of PET, which is polyester-based polymer like PLA and PCL. Another result is that, although aging was performed under aerobic conditions, we did not observe, for the three studied materials, the presence of products that could arise from oxidative processes as those reported upon degradation of PCL<sup>22, 26</sup> or poly(butylene)succinate<sup>8</sup> in oxidizing environments. The only additional detected compounds were impurities present before aging. In the case of PCL, evidence was obtained for the presence of methylated oligomers. Their presence could be explained by the fact that the carboxylic end of PCL is often end-capped by a methyl group to increase stability. Presence of similar products have been observed upon aging of PLA-based packaging material in food simulants<sup>13</sup>. A last interesting observation made during these MS1 analyses is that oligomers were detectable in negative electrospray ionization as [M-H]<sup>-</sup> pseudo-molecular ions, which is expected for carboxylic acids. However, PLA derivatives could also be detected in positive ionization and with a much larger sensitivity than in the negative mode. This unique property could be explained by fact that, in PLA, the terminal hydroxyl group is in  $\alpha$ -position of the carbonyl group of the ester link. This may favour the formation of adducts with cation such as Na<sup>+</sup> or NH<sub>4</sub><sup>+</sup> observed in the present experiments.

Detection of PLA and PCL oligomers upon MS1 analyses has been reported in several works<sup>8, 13, 14, 27</sup>. The observation of degradation products exhibiting differences in molecular weight corresponding to the monomer of the studied polymer is an interesting information. However, much more data can be provided by tandem mass spectrometry used in different detection modes. In the present work, we first analysed the samples in the product ion scan mode in order to record fragmentation spectra of the pseudo-molecular ions detected in the MS1 analyses. In all cases, fragmentation of the [M-H]<sup>-</sup> pseudo molecular ions yielded a series of fragments corresponding to oligomers shorter than the one studied. This confirmed that the detected products are actually oligomers of PLA, PCL or PET and no other products with identical molecular weight.

Fragmentation spectra of PLA could also be obtained in the positive ionization mode and the [M+Na]<sup>+</sup> pseudo molecular ion was also found to yield shorter oligomers. The observation of fragmentation into oligomers is interesting because it corresponds to a hydrolysis reaction. Water is obviously not available in mass spectrometer that are operated in high vacuum. As previously proposed for the sodium adducts of PLA oligomers<sup>28</sup> and poly(butylene adipate)<sup>17</sup>, fragmentation is driven by an intramolecular reaction of the terminal hydroxyl function with an adjacent ester bond leading to the cleavage of the chain. In that respect, it is similar to the process reported for the alkaline hydrolysis of PLA in solution<sup>27</sup>. Interestingly, loss of a single monomer from the pseudo-molecular ion was not observed upon fragmentation of PET oligomers. This may be explained by the steric hindrance in this species that prevents the alcohol terminus to react with the closest ester link. It may be stressed that fragmentation in the negative mode of the [M-H]<sup>-</sup> pseudo-molecular ions of PLA and PCL oligomers yielded all the oligomers down to the monomers. Altogether, the fragmentation data confirmed the oligomeric nature of the detected products.

Additional analyses were performed to strengthen the conclusions and to identify additional compounds that could exhibit parts of structure similar to hydrolysis products. Typically, we were interested in determining whether oxidation or rearrangement products were generated during aging. For this purpose, we used the mass spectrometer in two modes that allow detecting parent ions based on known fragmentation patterns. We first analysed the sample in the precursor ion scan, using fragments identified in the MS2 experiments as targets. In all cases, the m/z ratio of the detected parent ions were in agreement with those determined in the MS1 experiments. The second set of analyses was performed in the neutral loss mode. For this purpose, we aimed at detecting on the chromatograms all the compounds that could undergo loss of either lactic acid, 6-hydrohexanoic acid or 2-hydroxyethyl terephthalic acid for PLA, PCL and PET, respectively. Again, the detected parent ions all exhibited an m/z ratio equal or lower to that of the pseudo-molecular ions detected in MS1 experiments. It may be added that, because the noise of the detection is lower in detection modes involving fragmentation reactions, a better sensitivity than in MS1 was achieved. This allowed detecting longer oligomers present in low amounts that could not be observed on the MS1 chromatograms.



## CONCLUSIONS

The present work provides some novel information on the extensively studied topic of the degradation of biodegradable plastics. We have shown that pure polymers in pure water could undergo hydrolysis under the sole action of moderate heating and solar light exposure, which are typically found in harsh environmental conditions. In the present work, the conditions used mimicked a realistic equatorial exposure. The experiments were performed on nanoparticles and submicronic particles. A more quantitative comparison with larger pellets could be interesting in terms of kinetics to determine whether the three phases of degradation (loss of weight, degradation of mechanical properties, and loss of mass) taking place in bulk biodegradable plastics are also observed on lower size scales. Another interest of the present study is the design of a rationale strategy for the identification of small molecular degradation products released from plastics. Using tandem mass spectrometry in all its possible modes makes possible an extensive characterization of the released compounds. Such information on the chemical nature of putative environmental pollutants is important for further toxicological and ecotoxicological studies.

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